

"Introduction to Deep Inference and Proof Nets"

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LECTURE 1

Classical logic

Content :

- recall sequent calculus
 - Lk
 - GS1P
- Classical logic in the calculus of structures
 - SkSg
 - SkS
- Correspondence GS1P vs SkSg
- cut-elimination in SkS

CLASSICAL LOGIC - Sequent Calculus

System LK with Cut:

Ax	$\frac{}{A \vdash A}$	cut	$\frac{\phi \vdash A \quad A \vdash \psi}{\phi \vdash \psi}$	
w_L	$\frac{\phi \vdash \psi}{A, \phi \vdash \psi}$	w_R	$\frac{\phi \vdash \psi}{\phi \vdash \psi, A}$	(weakening)
c_L	$\frac{A, A, \phi \vdash \psi}{A, \phi \vdash \psi}$	c_R	$\frac{\phi \vdash \psi, A, A}{\phi \vdash \psi, A}$	(contraction)
\vee_L	$\frac{A, \phi \vdash \psi \quad B, \phi \vdash \psi}{A \vee B, \phi \vdash \psi}$	\vee_{RL}	$\frac{\phi \vdash \psi, A}{\phi \vdash \psi, A \vee B}$	\vee_{RR}
				$\frac{\phi \vdash \psi, B}{\phi \vdash \psi, A \vee B}$
\wedge_{LL}	$\frac{A, \phi \vdash \psi}{A \wedge B, \phi \vdash \psi}$	\wedge_{LR}	$\frac{B, \phi \vdash \psi}{A \wedge B, \phi \vdash \psi}$	
		\wedge_R	$\frac{\phi \vdash \psi, A \quad \phi \vdash \psi, B}{\phi \vdash \psi, A \wedge B}$	
\neg_L	$\frac{\phi \vdash \psi, A}{\neg A, \phi \vdash \psi}$	\neg_R	$\frac{A, \phi \vdash \psi}{\phi \vdash \psi, \neg A}$	

STRUCTURAL RULES

LOGICAL RULES

Example: Prove $\vdash A \vee \neg A$ in LK

$$\begin{array}{c}
 Ax \quad \frac{}{\vdash A, \neg A} \\
 \vee_{RR} \quad \frac{}{\vdash A, A \vee \neg A} \\
 \vee_{RL} \quad \frac{}{\vdash A \vee \neg A, A \vee \neg A} \\
 c_R \quad \frac{}{\vdash A \vee \neg A}
 \end{array}$$

CLASSICAL LOGIC - Sequent Calculus

System GS1p with Cut

$$\top \frac{}{\vdash \top}$$

$$Ax \frac{}{\vdash A, \bar{A}}$$

$$cut \frac{\vdash \phi, A \quad \vdash \psi, \bar{A}}{\vdash \phi, \psi}$$

$$\wedge \frac{\vdash \phi, A \quad \vdash \psi, B}{\vdash \phi, \psi, A \wedge B}$$

$$\vee \frac{\vdash \phi, A, B}{\vdash \phi, A \vee B}$$

$$c \frac{\vdash \phi, A, A}{\vdash \phi, A}$$

$$w \frac{\vdash \phi}{\vdash \phi, A}$$

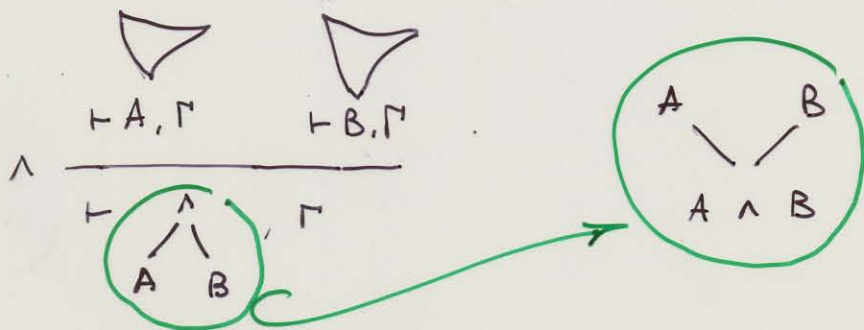
- negation only on atoms
- units \top, \perp

Example: Prove $\vdash A \vee \bar{A}$ in GS1p

$$\vee \frac{Ax \frac{}{\vdash A, \bar{A}}}{\vdash A \vee \bar{A}}$$

Key notes on sequent calculus :

- Logical inference rules are applied to the main connective of the formula
- The formula tree shapes the proof tree :



(additive conjunction)

- LK and G3IP (and many more presentations of classical logic) are logically equivalent: they prove the same tautologies.

$$\begin{array}{c} \triangle \\ A \vdash B \end{array} \quad \longrightarrow \quad \begin{array}{c} \triangle \\ \vdash \bar{A}, B \end{array}$$

- **Cut-elimination** in systems in proof theory is one of the fundamental properties desired. It says and implies
 - the cut rule can be disposed of, without affecting completeness
 - more determinism in proof search
 - more theoretical results
- **Cut-Elimination holds for LK + cut and G3IP + cut**

CLASSICAL LOGIC IN THE CALCULUS OF STRUCTURES

Structures (formulae)

written in context notation

$$S ::= f \mid t \mid a \mid \underbrace{[S, \dots, S]}_{>0} \mid \underbrace{(S, \dots, S)}_{>0} \mid \bar{S}$$

[...] disjunction

(...) conjunction

(positive and negative atoms)

Equivalence on formulae

$$\begin{aligned} [R, [T, U], V] &= [R T U V] \\ (R (T U), V) &= (R T U V) \end{aligned} \quad \left. \vphantom{\begin{aligned} [R, [T, U], V] &= [R T U V] \\ (R (T U), V) &= (R T U V) \end{aligned}} \right\} \text{assoc.}$$

$$\begin{aligned} [R T] &= [T R] \\ (R T) &= (T R) \end{aligned} \quad \left. \vphantom{\begin{aligned} [R T] &= [T R] \\ (R T) &= (T R) \end{aligned}} \right\} \text{comm.}$$

$$\begin{aligned} (f, f) &= f & [f R] &= R \\ (t, t) &= t & (t R) &= R \end{aligned} \quad \left. \vphantom{\begin{aligned} (f, f) &= f \\ (t, t) &= t \end{aligned}} \right\} \text{units}$$

$$\begin{aligned} \bar{\bar{f}} &= f & \overline{[R T]} &= (\bar{R}, \bar{T}) \\ \bar{\bar{t}} &= t & \overline{(R T)} &= [\bar{R} \bar{T}] \\ \overline{\bar{R}} &= R \end{aligned} \quad \left. \vphantom{\begin{aligned} \bar{\bar{f}} &= f \\ \bar{\bar{t}} &= t \end{aligned}} \right\} \text{negation}$$

$$\text{if } R = T \text{ then } \left\{ \begin{aligned} S \{R\} &= S \{T\} \\ \bar{R} &= \bar{T} \end{aligned} \right. \quad \left. \vphantom{\left\{ \begin{aligned} S \{R\} &= S \{T\} \\ \bar{R} &= \bar{T} \end{aligned} \right.}} \right\} \text{context closure}$$

Inference rules applied deep in context

with shape

$$\pi \frac{S \{T\}}{S \{R\}}$$

$S \{ _ \}$ a context

Top down reading: a rewrite rule

$$T \longrightarrow R$$

where ' \longrightarrow ' is logical implication.

SYSTEMS SKS 9 (Calculus of Structures)

$id \frac{S\{t\}}{S\{R\bar{R}\}}$	$i\uparrow \frac{S\{CR\bar{R}\}}{S\{f\}}$	interaction
$s \frac{S\{(CRU)T\}}{S\{[(RT)U]\}}$		switch
$w\downarrow \frac{S\{f\}}{S\{R\}}$	$w\uparrow \frac{S\{R\}}{S\{t\}}$	weakening
$c\downarrow \frac{S\{[RR]\}}{S\{R\}}$	$c\uparrow \frac{S\{R\}}{S\{(RR)\}}$	contraction

- rules applied deep in context $S\{ \}$
- up- and down- version of rules
- $\beta \frac{S\{T\}}{S\{R\}}$ means ' $T \rightarrow R$ ' \rightarrow logical implication (rewriting rule)
- Duality of up-/down-rules (contraposition)

$$\beta \downarrow \frac{S\{T\}}{S\{R\}} \qquad \beta \uparrow \frac{S\{\bar{R}\}}{S\{\bar{T}\}}$$

(s is self-dual, so no arrow)

- Derivation in (any) system \mathcal{S} in CoS: finite sequence of instances of inference rules in \mathcal{S}

$$\begin{array}{c}
 p_{n+1} \frac{T}{U} \\
 p_n \frac{}{i} \\
 p_2 \frac{}{V} \\
 p_1 \frac{}{R}
 \end{array}
 \quad
 \Delta \parallel \mathcal{S}
 \quad
 \begin{array}{c}
 T \text{ premiss} \\
 R \text{ conclusion}
 \end{array}$$

- Proof in system \mathcal{S} in CoS: a derivation where T is t

$$\begin{array}{c}
 \top \\
 \parallel \\
 R
 \end{array}
 \quad
 \begin{array}{c}
 t \\
 \parallel \\
 R
 \end{array}$$

Examples: proofs & derivations

$$\begin{array}{c} i\downarrow \\ \frac{t}{\frac{[(aa)aa]}{[(aa)a]}} \\ c\downarrow \end{array}$$

$$\begin{array}{c} i\downarrow \\ \frac{t}{\frac{[(aa)aa]}{[(aa)aab]}} \\ c\downarrow \end{array} \quad \frac{[(aa)b]}{[(aa)ab]} \quad w\downarrow$$

• Duality of proofs / derivations:

The dual of a derivation is obtained by turning it upside-down, and replacing each rule, each connective and each atom by its dual

Example:

$$\begin{array}{c} w\uparrow \\ \frac{[(a\bar{b})a]}{[aa]} \\ c\downarrow \\ a \end{array}$$

is dual to

$$\begin{array}{c} c\uparrow \\ \frac{\bar{a}}{(\bar{a}a)} \\ w\downarrow \\ ([\bar{a}b]\bar{a}) \end{array}$$

• Derivations in contexts: Given Δ obtain $S\{\Delta\}$:

$$\Delta: \begin{array}{c} p_{n+1} \frac{T}{U} \\ p_n \frac{\vdots}{\vdots} \\ p_2 \frac{V}{R} \\ p_1 \frac{\vdots}{R} \end{array}$$

$S\{\Delta\}$:

$$\begin{array}{c} p_{n+1} \frac{S\{T\}}{S\{U\}} \\ p_n \frac{\vdots}{\vdots} \\ p_2 \frac{S\{V\}}{S\{R\}} \\ p_1 \frac{\vdots}{S\{R\}} \end{array}$$

• Rule ρ is derivable in \mathcal{S} if

$$\rho \frac{T}{R} \quad \text{and} \quad \text{there exists a derivation } \frac{T}{R} \parallel \mathcal{S}, \text{ for all } T, R.$$

• Rule ρ is admissible for \mathcal{S} if for every proof $\frac{T}{R} \parallel \mathcal{S} \cup \{\rho\}$ there is a proof $\frac{T}{R} \parallel \mathcal{S}$.

• The up-fragment of $\mathcal{S} \cup \mathcal{S}_g$ is admissible; system $\mathcal{K}\mathcal{S}_g = \{i\downarrow, s, w\downarrow, c\downarrow\}$

• Admissibility of the up-fragment implies cut-elimination (elimination of the cut-rule).

• Deduction Thm:

$$\Delta \begin{array}{c} \top \\ \parallel \\ R \end{array} \text{sksg} \quad \text{iff} \quad \pi \begin{array}{c} \top \\ \parallel \\ \bar{\Gamma} R \end{array} \text{sksg}$$

proof:

$$\Delta \begin{array}{c} \top \\ \parallel \\ R \end{array} \text{sksg} \quad \rightsquigarrow$$

$$\begin{array}{l} \text{ib } \frac{t}{[\bar{\Gamma}, \top]} \\ [\bar{\Gamma}, \Delta] \parallel \text{sksg} \\ [\bar{\Gamma} R] \end{array}$$

$$\pi \begin{array}{c} \top \\ \parallel \\ R \end{array} \text{sksg} \quad \rightsquigarrow$$

$$\begin{array}{l} \text{it } \frac{(\top, \pi) \parallel \text{sksg}}{(\top [\bar{\Gamma} R])} \\ \uparrow \\ \frac{[R (\top \bar{\Gamma})]}{R} \end{array}$$

LOCALITY : RULES IN ATOMIC FORM - SKS

- From SKSg: restrict identity, weakening and contraction into atomic form. Restricting contraction introduces a new rule, "medial" (m).

$$oi\downarrow \frac{s\{t\}}{s\{[a,\bar{a}]\}}$$

$$ai\uparrow \frac{s\{a,\bar{a}\}}{s\{f\}}$$

$$s \frac{s\{([RU] T)\}}{s\{[(RT) U]\}}$$

$$m \frac{s\{([RU] [TV])\}}{s\{[(RT) (UV)]\}}$$

$$ow\downarrow \frac{s\{f\}}{s\{a\}}$$

$$ow\uparrow \frac{s\{a\}}{s\{t\}}$$

$$oc\downarrow \frac{s\{[a,a]\}}{s\{a\}}$$

$$oc\uparrow \frac{s\{a\}}{s\{(aa)\}}$$

$$KS = SKSg \setminus \{oi\uparrow, ow\uparrow, oc\uparrow\}$$

- $i\downarrow$ is derivable for $\{ai\downarrow, s\}$
- $w\downarrow$ is derivable for $\{aw\downarrow, s\}$
- $c\downarrow$ is derivable for $\{ac\downarrow, m\}$

and dually,

- $i\uparrow$ is derivable for $\{ai\uparrow, s\}$
- $w\uparrow$ is derivable for $\{aw\uparrow, s\}$
- $c\uparrow$ is derivable for $\{ac\uparrow, m\}$

Example: $w \downarrow$ derivable for $\{s \downarrow, s\}$

$$w \downarrow \frac{s \downarrow f \downarrow}{s \downarrow R \downarrow}$$

• By induction on R :

- R atom: The instance of the general rule is also an instance of the atomic rule

- $R = t$: Consider $s \downarrow f \downarrow$

$$= \frac{s \downarrow ([t t] f)}{s}$$
$$= \frac{s \downarrow [t (t f)]}{s \downarrow t \downarrow}$$

- $R = [P, Q]$: Apply the i.h.

$$= \frac{s \downarrow f \downarrow}{s \downarrow [f f]}$$
$$w \downarrow \frac{s \downarrow [f, Q]}{s \downarrow [P, Q]}$$

- $R = (P, Q)$: Apply the i.h.

$$= \frac{s \downarrow f \downarrow}{s \downarrow (f f)}$$
$$w \downarrow \frac{s \downarrow (f, Q)}{s \downarrow (P, Q)}$$

• **Thm:** systems SKS and $SKSg$ are strongly equivalent

(every derivation can be transformed from one system into the other.)

NB. m is derivable in $SKSg$ (for $\downarrow, w \downarrow$)

CORRESPONDENCE: GSIp vs SKSg

$$\frac{}{\vdash A, \bar{A}} \quad \Downarrow \quad \frac{t}{[A, \bar{A}]}$$

$$\text{cut } \frac{\vdash \phi, A \quad \vdash \psi, A}{\vdash \phi, \psi}$$

$$\begin{aligned} & \frac{([\phi, A] \quad [\psi, \bar{A}])}{\vdash([\psi, \bar{A}], A)} \\ & \frac{\vdash([\psi, \bar{A}], A)}{[\phi, \psi, (A, \bar{A})]} \\ & \Downarrow \\ & \frac{[\phi, \psi, f]}{[\phi, \psi]} \end{aligned}$$

$$\text{A } \frac{\vdash \phi, A \quad \vdash \psi, B}{\vdash \phi, \psi, A \wedge B} \quad \frac{([\phi, A] \quad [\psi, B])}{[\phi(A[\psi, B])]} \quad \frac{[\phi(A[\psi, B])]}{[\phi, \psi, (AB)]}$$

$$\text{C } \frac{\vdash \phi, AA}{\vdash \phi, A} \quad \Downarrow \quad \frac{[\phi, AA]}{[\phi, A]}$$

$$\text{W } \frac{\vdash \phi}{\vdash \phi, A} \quad \Downarrow \quad \frac{\phi}{[\phi, A]}$$

1. From sequent Calculus to CoS:

a. Map formulae and sequents of GSIp into structures of SKSg

b. THM: for every derivation $\frac{\Sigma_1 \dots \Sigma_k}{\Delta} \Sigma$ in GSIp + cut there is a derivation $\frac{(\Sigma_1 \dots \Sigma_k)}{\Sigma} \parallel \text{SKSg} \setminus \{ \text{cut, wts} \}$ with the same number of cuts.

Proof: structural induction on the given Δ .

- Base cases: $\Delta = \Sigma$: take Σ .

$\Delta = \frac{}{\vdash T}$: take t

$\Delta = \frac{}{\vdash A, \bar{A}}$: take $\Downarrow \frac{t}{[A, \bar{A}]}$

- Inductive cases:

$$\Delta = \frac{\frac{\Sigma_1 \dots \Sigma_k}{\Delta_1} \quad \frac{\Sigma'_1 \dots \Sigma'_e}{\Delta_2}}{\vdash \phi, A \quad \vdash \psi, B} \quad \frac{}{\vdash \phi, \psi, A \wedge B}$$

$$\text{By i.h. } \exists \Delta_1 \parallel \frac{(\Sigma_1 \dots \Sigma_k)}{[\phi, A]} \quad \Delta_2 \parallel \frac{(\Sigma'_1 \dots \Sigma'_e)}{[\psi, B]}$$

Compos them as:

$$\begin{aligned} & \frac{(\Sigma_1 \dots \Sigma_k \quad \Sigma'_1 \dots \Sigma'_e)}{([\phi, A] \quad [\psi, B])} \\ & \parallel \\ & \frac{([\phi, A] \quad [\psi, B])}{[\psi([\phi, A], B)]} \\ & \parallel \\ & \frac{([\phi, A] \quad [\psi, B])}{[\phi, \psi, (AB)]} \end{aligned}$$

(other cases are analogous).

2. From calculus of structures to sequent calculus

a. Map structures into formulae

$$\begin{array}{lcl} a & \rightsquigarrow & a \\ b & \rightsquigarrow & T \\ f & \rightsquigarrow & + \end{array} \quad \begin{array}{lcl} [R, T] & \rightsquigarrow & R \vee T \\ (R T) & \rightsquigarrow & R \wedge T \end{array}$$

b. 'imitate' deep inference

Lemma: For every two formulas A, B and every context $C\{\}$ there is a derivation

$$\begin{array}{c} \vdash A, \bar{B} \\ \triangle \\ \vdash C\{A\}, \overline{C\{B\}} \end{array} \quad \text{in GS1P}$$

Proof: structural induction on $C\{\}$.

- Base case : $C\{\} = \{\}$ trivial.
- $C\{\} = C_1 \wedge C_2\{\}$: Take

$$\begin{array}{c} \text{Ax} \frac{}{\vdash C_1, \bar{C}_1} \quad \begin{array}{c} \vdash A, \bar{B} \\ \triangle \\ \vdash C_2\{A\}, \overline{C_2\{B\}} \end{array} \\ \wedge \frac{}{\vdash C_1 \wedge C_2\{A\}, \bar{C}_1, \overline{C_2\{B\}}} \\ \vee \frac{}{\vdash C_1 \wedge C_2\{A\}, \bar{C}_1 \vee \overline{C_2\{B\}}} \end{array}$$

where Δ exists by i.h.

- $C\{\} = C_1 \vee C_2\{\}$: similar.

c. All rules $s \frac{R}{T}$ have a proof $\begin{array}{c} \vdash R, T \\ \triangle \\ \vdash \bar{R}, T \end{array}$ in GS1P

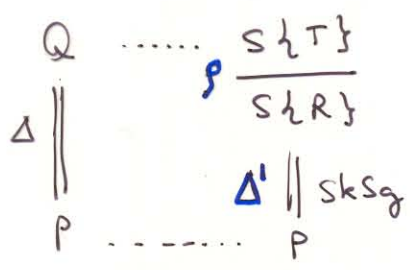
Example: $s \frac{S([U, V]T)}{S[(UT), V]}$ switch rule. Consider:

$$\begin{array}{c} \text{Ax} \frac{}{\vdash U, \bar{U}} \quad \text{Ax} \frac{}{\vdash V, \bar{V}} \\ \wedge \frac{}{\vdash U, \bar{U} \wedge \bar{V}, V} \quad \text{Ax} \frac{}{\vdash T, \bar{T}} \\ \wedge \frac{}{\vdash (U \wedge T), V, (\bar{U} \wedge \bar{V}), \bar{T}} \\ 2 \times \vee \frac{}{\vdash (U \wedge T) \vee V, (\bar{U} \wedge \bar{V}) \vee \bar{T}} \end{array}$$

d. Thm: for every derivation $\Delta \parallel_{P}^{Q} sksg$ there is a derivation $\begin{array}{c} \vdash Q \\ \triangle \\ \vdash P \end{array} \text{ in } G_{SIP} + \text{Cut}$

Proof: by induction on Δ

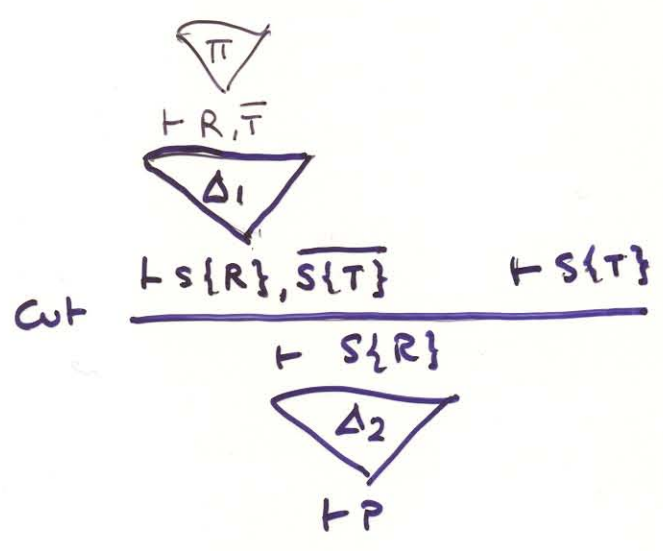
- Base: $\Delta = P$ (P, Q coincide) . Take $\vdash P$
- Inductive case: Take the topmost rule in Δ :



From p (previous prop.):



Consider this derivation

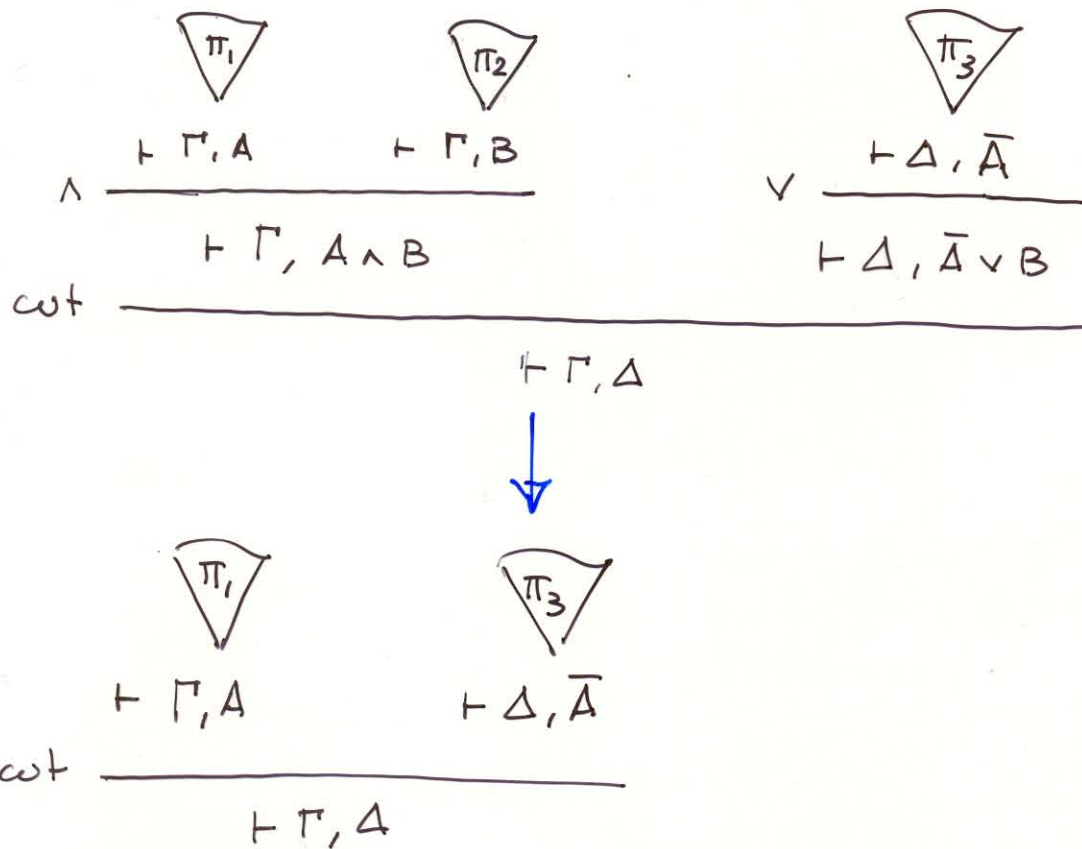


- Δ_1 : from previous lemma
- Δ_2 : by i.h.

e. Cor: if S has a proof in $sksg$ then $\vdash S$ has a proof in $G_{SIP} + \text{cut}$

CUT ELIMINATION

- Cut-elimination in the calculus of structures is very different than in the sequent calculus. Why?
- Because in the sequent calculus the main connective "drives" the reduction:



- In CoS, if we follow this idea, what are we supposed to do?

$$\begin{array}{l}
 \Pi \\
 \frac{s \frac{(a [d (b c [\bar{a} \bar{b} \bar{c}]])]}{[d (a b c [\bar{a} \bar{b} \bar{c}]])}}{=} \\
 \uparrow \frac{s (RT [\bar{R} \bar{T}])}{S \{f\}}
 \end{array}
 \quad
 \begin{array}{l}
 R = (a.b) \\
 T = c \\
 S = [d \{ \}]
 \end{array}$$

- Atomicity helps a lot!

CUT ELIMINATION

- **Lemma 1:** Each rule in SKS is derivable for identity, cut, switch and its dual rule.

proof: Ex:
$$\begin{array}{c} \uparrow \\ \frac{S\{T\}}{S\{R\}} \end{array} \rightsquigarrow \begin{array}{c} \downarrow \\ \frac{S\{T\}}{S(T [R\bar{R}])} \\ \text{S} \\ \frac{S [R(T\bar{R})]}{S [R(T\bar{T})]} \\ \text{pb} \\ \uparrow \\ \frac{S [R(T\bar{T})]}{S\{R\}} \end{array}$$

∴ We have to deal only with atomic cut (ait)

- **Def:** shallow atomic cut
$$\text{ait} \frac{[S(a\bar{a})]}{S}$$

- **Lemma 2:** Atomic cut is derivable for shallow atomic cut and switch.

Proof

$$\begin{array}{c} \text{ait} \\ \frac{S([R(a\bar{a})]T)}{S([Rf]T)} \\ = \\ \frac{S([Rf]T)}{S(RT)} \end{array} \rightsquigarrow \begin{array}{c} \text{ait} \\ \frac{S([R(a\bar{a})], T)}{S[(RT)(a\bar{a})]} \\ \text{S} \\ \frac{S[(RT)f]}{S(RT)} \end{array}$$

∴ We have to deal only with shallow atomic cuts.

CUT ELIMINATION

• **Lemma 3:** Each proof Π_{ks} can be transformed into a proof of Π_{ks} .

Proof:

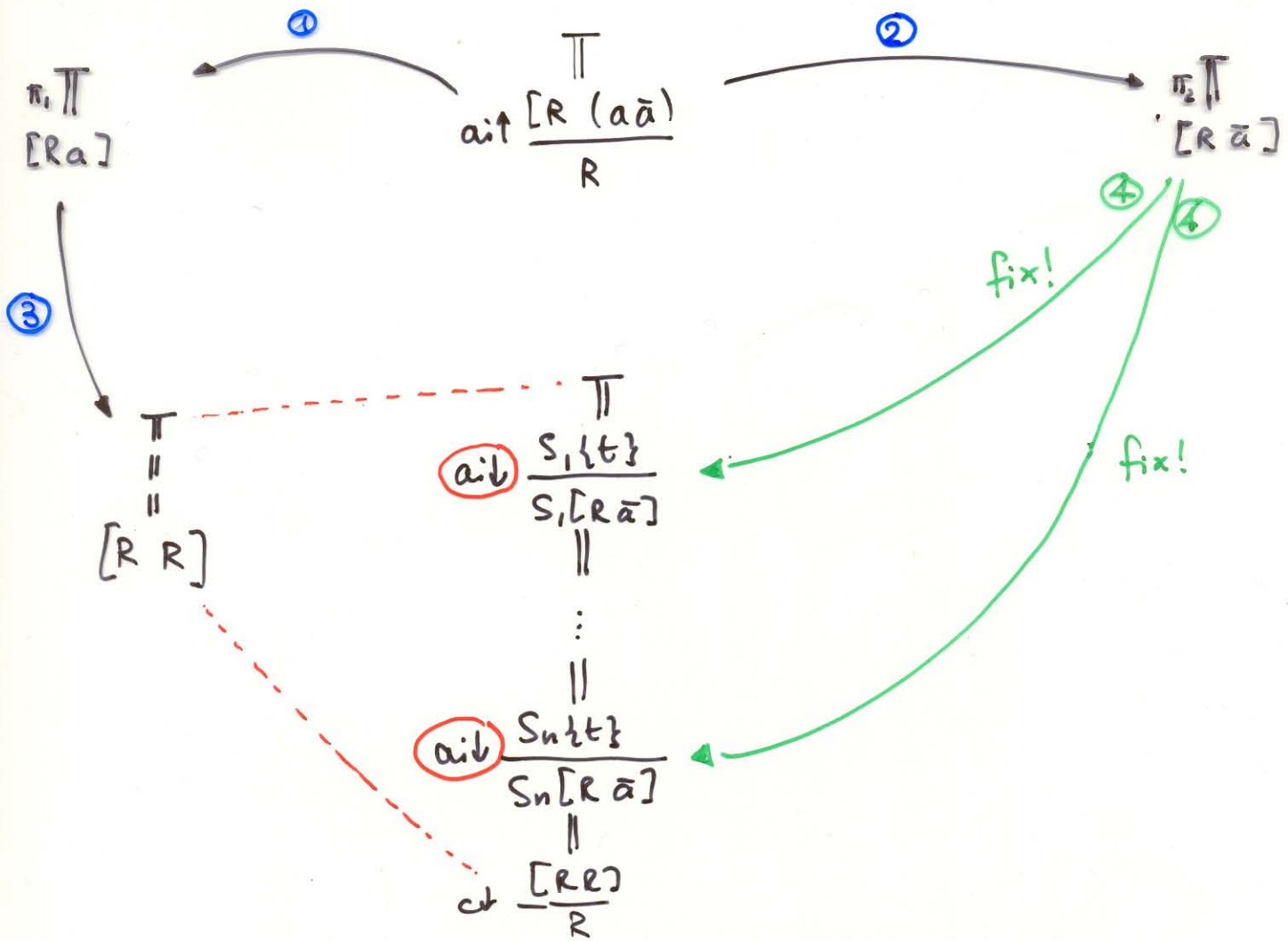
- visit the proof bottom up, replacing a with t :
 - replacing inside the context: no effect
 - replacing in m/s instances: no effect
 - in other rules: consider these derivations:

$$\text{ec} \downarrow \frac{s[aa]}{s\{a\}} \rightsquigarrow = \frac{s\{t\}}{s\{t\}}$$

$$\text{dw} \downarrow \frac{s\{f\}}{s\{a\}} \rightsquigarrow = \frac{s\{f\}}{s([t\ t] f)} \stackrel{s}{=} \frac{s\{t\ (t f)\}}{s\{t\}}$$

$$\text{di} \downarrow \frac{s\{t\}}{s[aa]} \rightsquigarrow \stackrel{\text{dw} \downarrow}{=} \frac{s\{t\}}{s[t f]} \frac{s\{t\}}{s[t \bar{a}]}$$

CUT ELIMINATION



① ② : Lemma 3, replace $\bar{a} \leftarrow t$ $a \leftarrow t$ in ① ② respectively

③ : replace $a \leftarrow R$: broken proof!

④ :
 • act , awd are replaced by ct , wd
 • aid is replaced by $S\{\Pi_2\}$

$$\text{aid} \frac{s\{t\}}{s[a\bar{a}]} \rightsquigarrow \frac{s\{t\}}{s[R\bar{a}]}$$

add a contraction at the end.

Repeat for all instances of $\text{ai}\uparrow$.