Master Parisien de Recherche en Informatique

Exam course 2-7-2 Proof assistants

Tuesday March 1 2011

The subject is ?? pages long. The exam lasts 2 hours. Hand-written course notes and other course material distributed this year are the only documents that you can use. The exercises can be solved independently.

Exercises 1 and 3 require to write Coq terms and proofs; we allow flexibility regarding the syntax used as long as there is no ambiguity on its meaning.

1 Programming with Coq: a binary scheme (9 pts)

The type positive in COQ is a representation of non-null natural numbers in a binary format. More precisely, there is a constant constructor xH which represents the natural number 1 and two constructors xI and xO which take a positive and return a positive. If p is a positive which represents the natural number n then xI p represents 2n + 1 and xO p represents 2n.

- 1. Write in COQ the inductive definition of positive and give the type of the corresponding induction principle on the sort Type.
- 2. Given a set A and a binary operation h on A, one defines for each x in A and 0 < n, the iterated composition $h^n x$ of h by recursion on $n \in \mathsf{nat}$:

$$h^{1} x = x$$
 $h^{n+1} x = h x (h^{n} x)$

Define in COQ a term it that, given h, x, n, computes $h^n x$.

3. From now on, one assumes that h is associative. Prove in CoQ that

$$\forall x \, n, \ 0 < n \to h^{2n} \, x = h^n \, (h \, x \, x)$$

4. The type positive gives a fast algorithm to compute $h^n x$ using the properties :

$$h^{2n} x = h^n (h x x)$$
 $h^{2n+1} x = h x (h^n (h x x))$

To obtain a terminal recursive version, one introduces an extra variable s as accumulator. The fast iteration Fit uses the algorithm:

$$\mathsf{Fit}\,h\,s\,x\,1 = h\,s\,x$$

 $\operatorname{Fit} h \, s \, x \, (2n) = \operatorname{Fit} h \, s \, (h \, x \, x) \, n \qquad \qquad \operatorname{Fit} h \, s \, x \, (2n+1) = \operatorname{Fit} h \, (h \, s \, x) \, (h \, x \, x) \, n$

One assumes h has a left neutral element ϵ (i.e. $h \epsilon x = x$) and one starts with $s = \epsilon$.

(a) Define the function Fit in COQ using the type positive to represent the number n.

- (b) Using the function nat_of_P which transforms an object in positive into the corresponding object in nat, give a specification for Fit which links (Fit h s x p) with s and (it h x (nat_of_P p))
- (c) Give the main element of the proof that your implementation of Fit satisfies this specification.
- (d) Deduce a function Pit which, given h, x, and p: positive, computes (it h x (nat_of_P p)).
- (e) Assuming addition on positive is given by a function Pplus , how to instantiate this scheme in order to compute x^n when both x and n are in the type positive?

2 Imperative programming and invariants (10 pts)

One introduces in WHY a logical environment for modeling finite sets with predicates to test membership and equality; a constant to represent the empty set, and logical operations to add (resp. remove) an element x to a set s.

```
type set
logic emptyset : set
logic memset : int, set \rightarrow prop (* x in s *)
logic eqset : set, set \rightarrow prop (* equality between sets *)
logic addset : int, set \rightarrow set (* s + {x} *)
logic remset : int, set \rightarrow set (* s - {x} *)
```

One assumes that the usual properties relating these operations and predicates are given as axioms. On top of this theory, one introduces a reference of type set and operations to clear this set, add a (positive) element, and pick an element in a (non-empty) set.

One introduces the following WHY environment (named Γ_1):

```
parameter s: set ref
parameter clear : unit →
    { } unit writes s { eqset(s,emptyset) }
parameter add : x : int →
    { x ≥ 0 } unit writes s { eqset(s,addset(x,s@)) }
parameter pick: unit →
    { not eqset(s,emptyset) }
    int writes s
    { memset(result,s@) and eqset(s,remset(result,s@)) }
```

Reminder: in the post-condition of a function, s@ designates the old value contained in reference s at the entry point of the function.

1. Let e be the WHY expression:

clear(); add(2); add(3); pick()

Justify that the post-condition { result = 2 or result = 3} is satisfied after this expression is executed.

2. Assume there is another function add' with a different specification

parameter add': x : int \rightarrow unit writes s { eqset(s,addset(x,s@)) }

Explain why using add' instead of add does not change the behavior of the expression e.

3. More generally, let e be an expression that satisfies a post-condition R in an environment with a function f and which possibly writes variable in a set V:

```
parameter f: x : tau \rightarrow { P(vars) } sigma writes vars { Q(x,result,vars@,vars) }
```

Assume there is another function

parameter f': x : tau \rightarrow { P'(vars') } sigma writes vars' { Q'(x,result,vars'@,vars') }

Explain the conditions on the properties P, Q, P', Q', and the sets of references vars and vars' such that the parameter f can be replaced by f' without changing the behavior of e.

4. One introduces the property

predicate $lnv(s : set) = forall n : int. memset(n,s) \rightarrow n \ge 0$

Show that the functions clear, add, and pick, also satisfy the specification where Inv(s) is added both in pre and post-conditions (only in post for the clear function). Namely the same implementations could be given the specifications:

```
parameter clear: unit \rightarrow
{ } unit writes s { eqset(s,emptyset) and lnv(s) }
parameter add : x : int \rightarrow
{ x \geq 0 and lnv(s) } unit writes s { eqset(s,addset(x,s@)) and lnv(s) }
parameter pick: unit \rightarrow
{ not eqset(s,emptyset) and lnv(s) }
int writes s
{ memset(result,s@) and eqset(s,remset(result,s@)) and lnv(s) }
```

We call Γ_2 this new environment.

- 5. Show that if e is an expression well-formed in the initial environment Γ_1 that establishes the post-condition R, and if e does not contain an assignment of the form s:=b then it can be run in the environment Γ_2 of question ?? and assuming the pre-condition Inv(s), the expression e will establish the post-condition (R and Inv(s)). The expression e is supposed to be built using application of functions, conditionals, sequences, and assignments. The only functions doing effects on the parameter s are clear, add, and pick.
- 6. Give an example of expression e that contains an assignment on s and such that the program e is correct in the environment Γ_1 but fails in the environment Γ_2 .
- 7. In order to allow arbitrary updates, one introduces a boolean variable invb which, when true, ensures the invariant is satisfied. So we have the environment:

```
parameter invb: bool ref
parameter clear: unit →
    { } unit writes s { eqset(s,emptyset) and lnv(s) }
parameter add: x : int →
    { x ≥ 0 and lnv(s) and invb = true }
    unit writes s
    { eqset(s,addset(x,s@)) and lnv(s) }
parameter pick: unit →
    { not eqset(s,emptyset) and lnv(s) and invb = true }
```

```
int writes s
{ memset(result,s@) and eqset(s,remset(result,s@)) and lnv(s) }
parameter update: u : set →
{ invb = false } unit writes s { eqset(s,u) }
```

We also add two functions which change the value of invb. The parameter invb can be set to true only when the invariant is proven.

parameter pack : unit \rightarrow { lnv(s) } unit writes invb { invb = true } parameter unpack : unit \rightarrow { } unit writes invb { invb = false }

Show that any expression e well-formed in that environment (using update, pack, unpack as well as add, clear, pick) and which does not assign directly s and invb preserves the property invb = true $\rightarrow \ln v(s)$.

3 Impredicative and inductive encodings of sum (4 pts)

One considers an environment

In this environment, an impredicative encoding of an indexed sum is given by:

 $\label{eq:definition} \text{Definition sum} := \text{forall } C \colon \text{Set} \ , \ (\text{forall } x \colon A, \ P \ x \to C) \to C \ .$

- 1. Write a COQ term sumi of type forall x:A, $P x \rightarrow sum$ and another of type sum $\rightarrow A$.
- 2. Write the indexed sum as an inductive definition named sumind.
- 3. Write a COQ term ind of type sumind $\rightarrow A$ and a term of type: forall (p:sumind), P (ind p).
- 4. Using ind, propose a new term pi of type sum $\rightarrow A$ such that forall (p:sum), P (pi p) is also provable.

Reminder

Weakest precondiction computation

The weakest precondition WP(i, Q) can be computed by induction on *i*:

$$\begin{split} WP(x := e, Q) &= Q[x \leftarrow e] \\ WP(i_1; i_2, Q) &= WP(i_1, WP(i_2, Q)) \\ WP(\texttt{if } e \texttt{ then } i_1 \texttt{ else } i_2, Q) &= (e = true \Rightarrow WP(i_1, Q)) \land (e = false \Rightarrow WP(i_2, Q)) \\ WP(f e, Q) &= \texttt{pre}(f)[x \leftarrow e] \land (\forall \texttt{result}\,\omega, (\texttt{post}(f)[x \leftarrow e] \Rightarrow Q))[\omega@ \leftarrow \omega] \end{split}$$